

PCT INTERNATIONAL APPLICATION TRANSMITTAL LETTER	DATE February 1, 2001
REGARDING THE INTERNATIONAL APPLICATION OF: TRANSNEURONIX, INC.	DOCKET OR REFERENCE NUMBER
ENTITLED: MEDICAL IMPLANT DEVICE FOR ELECTROSTIMULATION USING DISCRETE MICRO-ELECTRODES	70496/6328

**Certification under 37 CFR 1.10 (if applicable)**

EL 667459948 US  
"Express Mail" mailing number

February 1, 2001  
Date of Deposit

I hereby certify that this application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Dominic Vesell  
(Typed or printed name of person  
mailing application)

Dan Vesell  
(Signature of person mailing  
application)

To the United States Receiving Office (RO/US):  
Accompanying this transmittal letter is the above-identified International application, including a completed Request form (PCT/RO/101). Please process the application according to the provisions of the Patent Cooperation Treaty.

The following requests are made of the RO/US:

1. ☒ **PREPARATION AND TRANSMITTAL OF CERTIFIED COPY OF PRIORITY DOCUMENTS** — Please prepare and transmit to the International Bureau a certified copy of the United States origin priority documents identified in Box VI of the Request form (37 CFR 1.451).

To cover the cost of copy preparation and certification (37 CFR 1.19(a)(3) and (b)(1)).

☐ a (check) (money order) in the amount of \$ \_\_\_\_\_ is attached to this transmittal letter.

☒ the RO/US is hereby authorized to charge the following deposit account no.: 06-1135

The appropriate Search fee for the above-named Authority is indicated on the Fee Calculation Sheet (PCT/RO/101 Annex).

2. ☐ **SUPPLEMENTAL SEARCH FEES (ONLY WHEN ISA/US CONDUCTS THE INTERNATIONAL SEARCH.)** — Please charge any Supplemental Search fees that may be required by the United States International Searching Authority (ISA/US) to deposit account no.: \_\_\_\_\_

I understand that this authorization is subject to my oral confirmation thereof in each instance and that it in no way limits my right to submit a protest against payment of the Supplemental Search fees, but is merely an administrative aid to assure that the ISA/US may timely complete the Search Report.

NOTE: SUPPLEMENTAL SEARCH FEES FOR ISA/EP ARE PAYABLE DIRECTLY TO THE EUROPEAN PATENT OFFICE

3. ☒ **DISCLOSURE INFORMATION** — In order to assist in screening the accompanying International application for purposes of determining whether a license for foreign transmittal should and could be granted, the following information is supplied:

A. ☐ There is no prior filed application relating to this invention.

B. ☒ There is a prior application\*, serial number 60/181,320 filed on 9 FEBRUARY 2000, serial number 60/249,096 filed on 15 NOVEMBER 2000, and serial number 60/249,654 filed on 17 NOVEMBER 2000 which contains subject matter that is

1 ☒ substantially identical to that of the accompanying International application.

2 ☐ less than that of the accompanying International application. The additional subject matter of the International application appears on page(s) and line(s) \_\_\_\_\_.

3. ☐ more than that of the accompanying International application.

C. ☐ Disclosure information cannot be covered by the language of Points 4A or 4B above due to the involvement of several prior applications or for other reasons. A separate sheet on which the disclosure information is explained is attached to this transmittal letter.

4. ☒ **REQUEST FOR FOREIGN TRANSMITTAL LICENSE** — According to the provisions of 35 U.S.C. 184 and 37 CFR 5.11, a license to transmit the accompanying International application to foreign agencies or international authorities is hereby requested.

\*Priority is not claimed, unless all necessary information is listed in the Box VI of the Request Form (PCT/RO/101).

SIGNER is the <input type="checkbox"/> APPLICANT <input type="checkbox"/> COMMON REPRESENTATIVE <input checked="" type="checkbox"/> ATTORNEY (AGENT) REG NO. <u>37,702</u>	NAME OF SIGNER (Typed) KATHLEEN A. RANNEY
	SIGNATURE <u>Kath A Ranney</u>

**Inventor:** Jenkins et al.  
**Title:** MEDICAL IMPLANT DEVICE FOR ELECTROSTIMULATION  
USING DISCRETE MICRO-ELECTRODES

**Atty. Dkt. No.** 70496  
RAK/tab  
02/06/01

Transmittal (3 pages, in triplicate), Application (40 pages, 44 claims), Gen Auth for Pet  
for Ext of Time (1 page), Unexecuted Declaration (3 pages), Unexecuted Verified  
Statement (2 pages), Check: \$691 - filing fee, postcard

**Express Mail No. EL667459599US**

Hon. Commissioner of Patents and Trademarks

Sir:

Please acknowledge receipt of the above-identified documents by applying the  
Patent and Trademark Office receipt stamp hereto and mailing this card.

Respectfully,

FITCH, EVEN, TABIN & FLANNERY

BEST AVAILABLE COPY

UTILITY PATENT APPLICATION TRANSMITTAL  
(for Noncontinuing, Nonprovisional  
Applications under 37 C.F.R. §1.53(b))

Attorney Docket No. 70496

Box PATENT APPLICATION  
Commissioner of Patents and Trademarks  
ATTENTION: Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing  
under 37 C.F.R. §1.53(b) is the  
nonprovisional, noncontinuing  
patent application for:

Title: MEDICAL IMPLANT FOR  
ELECTROSTIMULATION USING  
DISCRETE MICRO-ELECTRODES

First Named Inventor or  
Application Identifier: Jenkins et al.

)  
) CERTIFICATE OF MAILING BY "EXPRESS MAIL"  
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)  
) Ed Price  
) (Typed or printed name of person mailing)  
) Ed Price  
) (Signature of person mailing)

- (X) 40 pages of the specification (including claims) are enclosed.
- ( )        sheet(s) of drawings are enclosed. ( ) Formal ( ) Informal
- ( ) An executed Oath or Declaration and Power of Attorney naming the actual inventors is enclosed.
- (X) The names of persons believed to be the actual inventors are set forth in the enclosed unexecuted Oath or Declaration and Power of Attorney (§1.41(a) and §1.53(b)).
- ( ) An Assignment(s) of the invention to \_\_\_\_\_, and cover sheet are enclosed.
- ( ) A check in the amount of \$\_\_\_\_\_ to cover the fee for recording the assignment(s) is enclosed.
- ( ) A 37 C.F.R. §3.73(b) Statement is enclosed (where an Assignee seeks to take action in a matter before the Patent Office).
- ( ) An Information Disclosure Statement is enclosed.
- ( ) A Form PTO-1449 is enclosed.
- ( )        References (copies) listed on the Form PTO-1449 are enclosed.
- (X) A Return Receipt Postcard is enclosed (MPEP §503).

(X) Priority of application number 60/181,320 filed on February 9, 2000 in United States; application number 60/249,096 filed on November 15, 2000 in United States; and application number 60/249,654, filed on November 17, 2000 in United States is claimed under 35 U.S.C. §119.

( ) A certified copy of the priority document is enclosed.

( ) A MicroFiche Computer Program (Appendix) is enclosed.

( ) A Nucleotide and/or Amino Acid Sequence Submission is enclosed.

( ) A Computer Readable Copy is enclosed.

( ) A Paper Copy (Identical to Computer Copy) is enclosed.

( ) A Statement Verifying Identity of above Copies is enclosed.

(X) The filing fee is calculated below:

Fee Calculation For Claims As Filed

(a) Basic Fee \$ 710.00

(b) Independent Claims 6 - 3 = 3 x \$ 80.00 = \$240.00

(c) Total Claims 44 - 20 = 24 x \$ 18.00 = \$ 432.00

(d) Fee for Multiply Dependent Claims \$270.00 \$ -

Total Filing Fee \$ 1382.00

(X) A Statement(s) of Status as Small Entity is enclosed, reducing the Filing Fee by half to (UNEXECUTED): \$ 691.00

(X) A check in the amount of \$ 691.00 to cover the filing fee is enclosed.

( ) Charge \$ \_\_\_\_\_ to Deposit Account No. 06-1135.

( ) The payment of the Filing Fee is to be deferred until the Declaration is filed. Do not charge our Deposit Account.

(X) A separate written request under 37 C.F.R. §1.136(a)(3), which is a general authorization to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. §1.136(a) for its timely submission as incorporating a petition for an extension of time for the appropriate length of time, is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in triplicate.


( ) Also enclosed:

(X) Address all future communications to Customer Number 22242.



FITCH, EVEN, TABIN & FLANNERY  
Suite 1600  
120 South LaSalle Street  
Chicago, Illinois 60603-3406  
Telephone: (312) 577-7000  
Facsimile: (312) 577-7007

February 6, 2001  
(Date)

  
\_\_\_\_\_  
Richard A. Kaba  
Registration No. 30,562

PATE

Attorney Docket No. 70496

Date: February 6, 2001

Commissioner of Patents and Trademarks  
ATTENTION: Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Applicant(s): Jenkins et al.

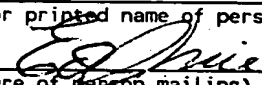
Application No.:

Filed: HEREWITH

Title: MEDICAL IMPLANT FOR  
ELECTROSTIMULATION USING  
DISCRETE MICRO-ELECTRODES

Group Art Unit:

Examiner:

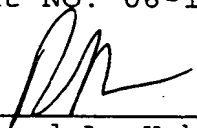
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)  
) Ed Price  
) (Typed or printed name of person mailing)  
)   
) (Signature of person mailing)

**GENERAL AUTHORIZATION FOR PETITION FOR  
EXTENSION OF TIME UNDER 37 C.F.R. §1.136(a) (3)**

Applicant(s) hereby request under 37 C.F.R. §1.136(a) (3) by this general authorization that any concurrent or future reply submitted by Applicant(s) to the U.S. Patent and Trademark Office for the above-identified patent application requiring a petition for an extension of time under §1.136(a) for its timely submission be treated as incorporating therein a petition for an extension of time for the appropriate length of time.

If Applicant(s) do not timely pay for any extension fee(s) pursuant to 37 C.F.R. §1.136(a) which may become due for this application under 37 C.F.R. §1.17 by check, the Commissioner is hereby authorized to charge such fee(s), and any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135.

February 6, 2001  
(Date)

  
Richard A. Kaba  
Registration No. 30,562

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